

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: FAN, XUDONG  
Application No.: 10/685049 Confirmation No.: 5689  
Filed: October 14, 2003  
Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p).

**Fees:**

- ☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.
- ☒ Any required fee will be paid at the time of EFS-Web submission.

☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

27 OCT -2006  
Date

By: William D. Miller  
William D. Miller, Reg. No.: 37,988  
Telephone No.: 651-733-6649

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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<b>Attorney Case Number</b>	58391US002

## U.S. Patent Documents

Exam. Init.*	Cite No	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,865,317	03/08/2005	Vahala et al.	
	A2	US- 6,888,987	05/03/2005	Sercel et al.	
	A3	US- 6,891,996	05/10/2005	Sercel et al.	
	A4	US- 6,891,997	05/10/2005	Sercel et al.	
	A5	US- 2002/0094150	07/18/2002	Lim et al.	
	A6	US- 2004/0120638	06/24/2004	Frick	
	A7	US- 2004/0146431	07/29/2004	Scherer et al.	
	A8	US- 2005/0035278	02/17/2005	Margalit et al.	
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (if known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

## OTHER DOCUMENTS

Exam. Init.*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.